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8 Linking the effects of plant protection products on biodiversity and ecological processes to potential  
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10

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30 **Keywords**

31 collective scientific assessment, ecotoxicology, ecosystem services, environmental risk assessment,  
32 functional traits, pesticides

33

34 **Highlights**

- 35 • Plant protection products (PPP) threaten biodiversity and ecosystem services
- 36 • Assessing the risks and effects of PPP on ecosystem services is a challenging task
- 37 • Our framework links PPP effects on biodiversity–ecosystem functions and services
- 38 • The framework addresses the connections and trade-offs between PPP uses

39

40 **Abstract**

41 There is growing interest in using the ecosystem services framework for environmental risk  
42 assessments of plant protection products (PPP). However, there is still a broad gap between most of  
43 the ecotoxicological endpoints used in PPP risk assessment and the evaluation of the risks and effects  
44 of PPP on ecosystem services. Here we propose a conceptual framework to link current and future  
45 knowledge on the ecotoxicological effects of PPP on biodiversity and ecological processes to their  
46 consequences on ecosystem functions and services. We first describe the main processes governing  
47 the relationships between biodiversity, ecological processes and ecosystem functions in response to  
48 effects of PPP. We define 12 main categories of ecosystem functions that could be directly linked with  
49 the ecological processes used as functional endpoints in investigations on the ecotoxicology of PPP. An  
50 exploration of perceptions on the possible links between these categories of ecosystem functions and  
51 groups of ecosystem services (by a panel scientific experts in various fields of environmental sciences)  
52 then finds that these direct and indirect linkages still need clarification. We illustrate how the proposed  
53 framework could be used on terrestrial microalgae and cyanobacteria to assess the potential effects  
54 of herbicides on ecosystem services. The framework proposed here uses a set of clearly-defined core  
55 categories of ecosystem functions and services, which should help identify which of them are  
56 effectively or potentially threatened by PPP. We argue that this framework could help harmonize and  
57 extend the scientific knowledge that informs decision-making and policy-making.

58

59 **1. Introduction**

60 Environmental managers and regulators increasingly recognize biodiversity as an important protection  
61 goal in environmental risk assessment (EFSA, 2016a) and sustainability programs (Glaser, 2012; Bach  
62 et al. 2020; European Commission, 2020; Tickner et al. 2020). In parallel, the concept of ecosystem  
63 service (Costanza et al., 1997; MEA, 2005; TEEB, 2010) has progressively gained interest in ecosystem  
64 management and risk assessment (e.g. Cairns and Niederlehner, 1994; Forbes and Calow, 2013;  
65 Maltby, 2013; 2018; Forbes et al., 2017; Faber et al., 2019; Galic et al., 2019). This is especially true for  
66 environmental risk assessments of plant protection products (PPP), which are defined here as synthetic

67 and biobased pesticides (formulated products and active substances) and their transformation  
68 products. In 2010, the European Food Safety Authority (EFSA) Panel on PPP and their residues  
69 emphasized that the ecosystem service framework was central to setting specific protection goals for  
70 this kind of substance (EFSA, 2010). It served as a startpoint to development of EFSA guidances on how  
71 to better protect biodiversity and ecosystem services against PPP and other contaminants (EFSA 2013;  
72 2016a). Based on these works and guidances, various scientific experts from academia, regulatory  
73 authorities and the chemical industry evaluated the advantages, limitations and shortcomings of the  
74 ecosystem service framework regarding current practices in environmental risk assessment and  
75 environmental monitoring (Box 1 and references therein; Van Wensem and Maltby, 2013; Arts et al.,  
76 2015; Devos et al., 2015; Van Wensem et al., 2017).

77 The ecosystem service framework is pivotal to environmental risk assessment an integral to regulatory  
78 decision-making and the ecological relevance of environmental protection goals (Cairns &  
79 Niederlehner, 1994; Forbes and Calow, 2012; Brown et al., 2017; Munns et al., 2017; Maltby et al.,  
80 2018). Effective implementation of an ecosystem service-based environmental risk assessment of PPP  
81 should help to protect and restore biodiversity and ecosystems against their direct and indirect adverse  
82 effects (Maltby, 2013). In this context, the ecosystem services approach has the potential to explicitly  
83 address the trade-off between social benefits and environmental losses from the use of PPP on  
84 ecosystems, such a trade-off being still under-researched (Nienstedt et al., 2012; Brown et al., 2017).

85 Indeed, effective implementation of an ecosystem service-based environmental risk assessment is  
86 bottlenecked by a number of scientific and technical challenges (Box 1), including the development of  
87 quantifiable indicators and relevant endpoints to evaluate the effects of PPP on ecosystem services  
88 (Faber and van Wesem, 2012; Faber et al., 2019). Not alien to this lack of implementation is the current  
89 lack of connection between ecotoxicological endpoints for risk assessment and the potential  
90 consequences of PPP on ecosystem services (Forbes et al., 2017).

91 This lack of connection undermines the contribution of many available ecotoxicological studies to the  
92 assessment and prediction of the impact of PPP on ecosystem services. Published works in the peer-  
93 reviewed literature are generally not designed to inform environmental risk assessment in a regulatory

94 context, but they do provide basic knowledge for decision-makers and policy-makers (Rudén et al.,  
95 2017; van der Hel and Biermann, 2017). This knowledge can serve to identify ecosystem services that  
96 are potentially threatened by PPP (EFSA, 2016a; Brown et al., 2017).

97

98 **Box 1:** *Non-exhaustive list of identified challenges that need to be resolved to implement the ecosystem service*  
99 *framework in environmental risk assessments of PPP.*

- 100 • Definition of reference values for ecosystem services (Faber et al., 2019)
- 101 • Definition of acceptable vs unacceptable levels (i.e. magnitude) of PPP effects (Brown et al., 2017)
- 102 • Definition of clear and quantifiable protection goals and restoration targets for ecosystem service  
103 management (Maltby, 2013)
- 104 • Identification of key drivers of ecosystem services, and taxa/communities accounting for them  
105 (Nienstedt et al., 2012)
- 106 • Development of quantifiable indicators and relevant endpoints to evaluate the effects of PPP on  
107 ecosystem services (Faber and van Wesem, 2012; Faber et al., 2019)
- 108 • Consideration of trade-offs between ecosystem services, and possible antagonistic interactions of PPP  
109 with different services (Galic et al., 2012)
- 110 • Development and implementation of applicable strategies and procedures to address site-specific risk  
111 assessment (Forbes and Calow, 2013)
- 112 • Development and implementation of applicable strategies and procedures to transpose environmental  
113 risk assessment to landscape scales (Maltby et al., 2018)

114

115

116 Stakeholders and policy-makers are increasingly demanding critical and intelligible recommendations  
117 on the possible effects of PPP on biodiversity and ecosystem services. The French Ministries for  
118 Environment, Agriculture and Research recently commissioned a collective scientific assessment (CSA)  
119 to deliver this goal (Pesce et al., 2021). This CSA concerns the terrestrial–freshwater–marine  
120 continuum and enlisted input from a panel of 46 experts in various research domains. This expert panel  
121 decided to build a conceptual framework to help link current and future knowledge on ecotoxicological  
122 effects of PPP on biodiversity and ecological processes to any possible impairment of ecosystem  
123 functions and services, which was seen as an essential step to bridge the gaps between  
124 ecotoxicological endpoints and ecosystem services (Forbes et al., 2017).

125 Here we describe the steps necessary to construct this framework, which starts by defining the four  
126 interlinked levels at which PPP have potential effects (biodiversity, ecological processes, ecosystem  
127 functions, and ecosystem services; Box 2 and Table 2; Banerjee et al., 2013; Balvanera et al., 2014). We  
128 first analyze the literature on the relationship between biodiversity, ecological processes and  
129 ecosystem functions in order to propose a conceptual scheme that is specifically applicable to PPP  
130 (section 2).

131

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132 **Box 2:** *Adopted definitions of biodiversity, ecological processes, and ecosystem functions (ecosystem services are*  
133 *defined in Table 2)*

- 134 • **Biodiversity** follows the Convention on Biological Diversity's definition (United Nations, 1992). As such,  
135 biodiversity is "the variability among living organisms from all sources including, inter alia, terrestrial,  
136 marine and other aquatic ecosystems and the ecological complexes of which they are part; this includes  
137 diversity within species, between species and of ecosystems". This definition includes the composition,  
138 structure and variety of specific species or habitats, the abundance and biomass of species, their  
139 functional traits, and their genetic composition and identity (Marselle et al., 2021).
- 140 • **Ecological processes** are activities that result from interactions among organisms and between  
141 organisms and their environment (Martinez, 1996).
- 142 • **Ecosystem functions** are the set of ecological processes occurring within an ecosystem that may or may  
143 not contribute to ecosystem services (Lovett et al., 2006 and Garland et al., 2021).

144

145

146 The relationship between biodiversity and ecosystem functions has been extensively explored in the  
147 ecology of 'natural' or 'weakly anthropized' ecosystems (van der Plas 2019) but its intersection with  
148 ecotoxicological pressure has been under-researched (Rumschlag et al. 2020). Functional endpoints  
149 used in ecotoxicological studies tend to refer to ecological processes rather than to ecosystem  
150 functions. Here we propose a definition and classification of ecosystem functions that are potentially  
151 impacted by PPP (section 3) in an effort to address the current inconsistencies (e.g. Costanza et al.,  
152 1997; De Groot et al., 2002; Pettorelli et al., 2018; Armoškaitė et al., 2020; Garland et al., 2021). We  
153 then evaluate the potential links between ecosystem functions and ecosystem services, based on the  
154 opinion of a sub-panel of experts (n=17; section 4). From there, we go on to build a framework  
155 connecting the effects of PPP on biodiversity, ecological processes and ecosystem functions and,

156 ultimately, the allied ecosystem services. The framework is illustrated using terrestrial microalgae and  
157 cyanobacteria (section 5) as model organisms involved in several ecosystem functions (Crouzet &  
158 Bérard, 2017; Abinandan et al., 2019; Cantón et al., 2020; Poveda 2021). We anticipate this approach  
159 as a startpoint to investigate how PPP affect ecosystems. It will provide important insights to help  
160 better protect (or restore) biodiversity and ecosystem functions and services against the direct and  
161 indirect adverse effects of these contaminants along the terrestrial–freshwater–marine continuum.

162

## 163 **2. Conceptual relationships between biodiversity, ecological processes and ecosystem functions** 164 **under PPP-induced pressure**

### 165 ***2.1. Biodiversity indices***

166 The definition of biodiversity (Box 2) implies a complex interplay of taxonomic, genetic and functional  
167 components. This complexity has led to the development of a large and diversified set of indices and  
168 metrics, the full description of which is evidently outside of scope of the present article. However, we  
169 do provide a very brief overview of these tools and their evolution, together with seminal references  
170 for further reading. Traditional indices of taxonomic diversity are based on generalized entropy and  
171 reflect the structure and composition of a community at a given time or site (e.g. species richness,  
172 relative abundance, evenness; Legendre & Legendre, 2012). Indices of functional diversity mostly rely  
173 on functional types, trait measurements (functional attributes), distance metrics and/or grouping  
174 algorithms (Petchey et al., 2009). Like for taxonomy, these indices inform on community diversity,  
175 evenness and divergence (Mason et al. 2005). Genetic biodiversity can be described using phylogenetic  
176 metrics (Winter et al., 2013) and population genetics indices (Hartl & Clark, 1997). Note that each type  
177 of diversity can be estimated at various scales from local (alpha diversity) up to regional (gamma  
178 diversity), as well as in terms of dissimilarity (beta diversity). This kind of partitioning was originally  
179 focused on species diversity (Whittaker, 1960) but is now also applied to phylogenetic and functional  
180 diversity, and recent developments use unifying concepts to measure species, phylogenetic and  
181 functional diversities at various scales (Swenson et al., 2012; Chao et al., 2014; Gaggiotti et al., 2018).

182

183 **2.2. Biodiversity and ecosystem functioning**

184 Assessment of the relationships between biodiversity and ecosystem functioning has been one of the  
185 most active fields in ecological research (e.g. Schulze & Mooney, 1993; Balvanera et al., 2006; Cardinale  
186 et al., 2006; Loreau et al., 2010; Tilman et al., 2014; Eisenhauer et al., 2019; van der Plas, 2019). At  
187 present, there is a broad consensus that the dynamics of biodiversity are not entirely governed by  
188 random processes. At community level, assembly rules, structure and diversity all result from the  
189 combined effects of ecological drift and deterministic processes (Hubbell, 2001; Tilman 2004; De  
190 Meester et al. 2018; Svensson et al. 2018). Likewise, at species level, population eco-evolutionary  
191 dynamics are shaped by both random (genetic drift) and non-random (selection mode and intensity,  
192 mutation rate, mating system) processes (see Hartl and Clarck 1997). Environmental deterioration,  
193 such as that caused by chemical contamination (including PPP), can drive a population to decline to  
194 extinction (maladaptation) or conversely to recover through plasticity, dispersion, or rapid  
195 evolutionary adaptation (e.g. antibiotic and pesticide resistance, which are two typical cases of  
196 evolutionary rescue; Bell 2017). Therefore, whatever the level of investigation, non-random  
197 (deterministic) factors and processes need to be explicitly addressed in the empirical testing of  
198 theoretical hypotheses related to the dynamics of biodiversity under environmental change.

199 Accordingly, propelled by findings and lessons from a decade of intensive fundamental research, a new  
200 generation of research on biodiversity and ecosystem functioning emerged (Naeem et al. 2009) that  
201 was characterized by more functional approaches (trait-based functional diversity and mechanism of  
202 ecosystem functioning, multitrophic dimension) and hypotheses (trait-based extinction probability,  
203 empirical extinction scenarios, net biodiversity effect partitioning). Today's research has also become  
204 more predictive (e.g. wider spatial and temporal scales, theory development, metacommunity  
205 dynamics) and includes issues directly related to human impact and ecosystem services (Naeem et al.  
206 2009). Recent developments now make it possible to explain the biodiversity–ecosystem functioning  
207 relationship across time and space (Isbell et al. 2018).

208 It is therefore patently quite clear that the impacts of PPP on biodiversity and ecosystem services  
209 should be assessed under this research framework (Rumschlag et al., 2020), by considering the non-



210 random direct and indirect pressure they exert on biodiversity (De Laender et al., 2014; 2016; Halstead  
211 et al., 2014; Malaj et al., 2014; Baert et al., 2017).

212

### 213 **2.3. Conceptual framework under PPP-induced pressure**

214 Our conceptual framework integrating biodiversity, ecological processes and ecosystem functions  
215 under the effects of PPP is illustrated in Fig. 1.

216 The figure describes the direct effects of PPP on biodiversity, including intraspecific, interspecific and  
217 functional biodiversity, which rely on the use, fate and bioavailability of PPP in the environment and  
218 the resulting exposure of organisms. These effects result from the combination of toxicity and  
219 mechanisms of action of the PPP substances and substance–substance interactions, as well as  
220 biological sensitivity and its distribution within and across species (Fig. 1; Blanck et al., 1988;  
221 Vinebrooke et al., 2004; Johnston & Roberts, 2009; De Laender et al., 2014; Kattwinkel et al., 2015;  
222 Mensen et al., 2015; EFSA, 2016b). Species show adaptive capacities (acclimation, tolerance,  
223 resistance, resilience, recovery) that extend through various biological scales (populations,  
224 communities) and timescales (rapid and reversible physiological adaptation through developmental  
225 and phenotypic plasticity vs longer-term adaptation through selective evolutionary processes). Toxic  
226 effects can also have indirect consequences on biodiversity by altering species–species interactions  
227 both within and between trophic levels (Fig. 1; Fleeger et al., 2003; Halstead et al., 2014; Saaristo et  
228 al., 2018; Fleeger, 2020). The non-random effects of PPP on biodiversity therefore influence ecological  
229 processes, which mainly depend on the functional role of sensitive species and the degree of functional  
230 redundancy between species (Fig. 1; Allison and Martiny, 2008; Cardinale, 2012; Diaz et al., 2013;  
231 Bardgett and van der Putten, 2014; De Laender et al., 2016; Baert et al., 2017). These non-random  
232 effects on biodiversity may affect ecosystem functioning to a higher degree (e.g. when impacting  
233 keystone species) or lower degree (e.g. where there is high functional redundancy) than random ones  
234 (De Laender et al., 2016).

235 Most PPP are designed to specifically target biological groups that directly contribute to ecological  
236 processes (Fig. 1). Photosystem inhibitor herbicides (such as triazines and phenylureas) are good

237 examples of PPP that directly affect photosynthesis and primary production (Black, 2018). Such  
238 targeted functional effects can strongly influence biodiversity–ecosystem function relationships  
239 through feedback mechanisms from ecological processes and ecosystem functions to biodiversity (Fig.  
240 1). These relatively unknown feedbacks (Duncan et al., 2015; Grace et al., 2016; Qiu et al., 2018; van  
241 der Plas, 2019) are an important factor for achieving biodiversity conservation objectives (Xiao et al.,  
242 2019), and enhancing our current understanding of their role in the ecological processes that affect  
243 the bioavailability of PPP (e.g. biodegradation or bioturbation) (Fig. 1; Chaplain et al., 2011; Bundschuh  
244 et al., 2016). Moreover, there is still an unaddressed need for ecotoxicological indicators that can be  
245 linked to ecosystem functions rather than to ecological processes (Heink & Kowarik, 2010; Thomsen  
246 et al., 2012; Forbes et al., 2017; Faber et al., 2019; Garland et al., 2021).

247

### 248 **3. Definition and classification of ecosystem functions potentially impacted by PPP**

249 The limited knowledge on the effects of PPP on ecosystem functions precludes any attempt to get a  
250 reliable assessment of their consequences for ecosystem services. Several classifications of ecosystem  
251 functions and services have been proposed in the past (e.g. Costanza et al., 1997; De Groot et al., 2002,  
252 2012; CGDD, 2010; Banerjee et al., 2013; Liqueste et al., 2013; Pettorelli et al., 2018; van der Plas et al.,  
253 2019; Garland et al., 2021). Certain ecosystem functions are sometimes termed “intermediate  
254 ecosystem services” (Boyd & Banzhaf, 2007 ; Munns et al., 2016; Fisher et al., 2009; Forbes et al., 2017),  
255 but this terminology has been questioned (Potschin-Young et al., 2017). In 2021, Garland et al.  
256 proposed a classification based on an extensive meta-analysis of 268 studies dealing with ecosystem  
257 multifunctionality (Byrnes et al., 2013; Delgado-Baquerizo et al., 2016; Gamfeldt & Roger, 2017). They  
258 found that research to date had considered a large number of ecosystem functions but without any  
259 attempt to harmonize the terminology and underlying concepts used (Garland et al., 2021). The  
260 resulting semantic inconsistencies have brought about redundant, ambiguous, and imprecise (if not  
261 controversial) term usage. To address this issue, we propose a classification based on 12 main  
262 categories of ecosystem functions that can be directly linked with the ecological processes used as  
263 functional endpoints in the assessment of PPP impacts in terrestrial, freshwater and marine

264 ecosystems (Table 1). This new classification departs from the classification published by De Groot et  
 265 al. (2002) and revisited by Pettorelli et al. (2018). Following Pettorelli et al. (2018), cultural functions  
 266 were excluded as they can be directly considered as ecosystem services.

267

268 *Table 1: Proposed classification of ecosystem functions potentially impacted by PPP [adapted from De*  
 269 *Groot et al. (2002) and Pettorelli et al. (2018)], and (non-exhaustive) illustrative list of related functional*  
 270 *endpoints employed in ecotoxicology*

271

	<b>Ecosystem function category</b>	<b>Definition</b>	<b>Examples of functional endpoints used in ecotoxicology</b>
1	Gas regulation	Production and consumption of gas and the regulation of gas exchanges among different environmental compartments and with the atmosphere	Photosynthesis, respiration, methanogenesis, denitrification, nitrogen fixation, evapotranspiration
2	Dissipation and mitigation of contaminants and wastes in terrestrial and aquatic ecosystems	Filtration, buffering, sequestration and degradation of chemical and biological contaminants and wastes	Biodegradation/phytodegradation potential, enzymatic activity, exopolysaccharide production
3	Resistance to disturbance	Mitigation of and ability to resist both environmental (e.g. heatwaves, fires, storms, floods, mudflows, avalanches) and human-driven (e.g. pollution) disturbances	Terrestrial vegetation biomass aboveground (cover) and belowground (root systems), aquatic biological-structure biomass (e.g. coral reefs, seagrasses, mangrove vegetation), pigment production, exopolysaccharide and mucilage production
4	Water retention in soil and sediment	Retention and storage of water in soil and sediment to preserve freshwater resources	Bioturbation of soil and sediment organisms, exopolysaccharide and mucilage production, root architecture
5	Water flow regulation	Regulation of runoff and water discharge	Bioturbation of soil and sediment organisms, exopolysaccharide and mucilage production, root architecture
6	Albedo and reflection	Local mitigation of the effects of climate change (including extreme events)	Vegetation biomass and cover, macroalgae and phytoplankton biomass, pigment production

7	Production and input of organic matter in terrestrial and aquatic ecosystems	Production and dispersion of biomass and organic matter that can serve as energy sources in food webs	Primary production, secondary production
8	Nutrient regulation in terrestrial and aquatic ecosystems	Decomposition of organic matter and the transport, storage and recycling of nutrients	Methanogenesis, nitrification, denitrification, enzymatic activities, particulate organic matter decomposition
9	Formation and maintenance of soil and sediment structure	Role of vegetation and biota in the formation and maintenance of soil and sediment structure (including shorelines and coasts)	Bioturbation of soil and sediment organisms, terrestrial vegetation biomass aboveground (cover) and belowground (root systems and mucilage), aquatic biomass (e.g. coral reefs, seagrasses, mangrove vegetation), microbial filament and exopolysaccharide production
10	Dispersion of propagules in terrestrial and aquatic ecosystems	Role of vegetation and biota in the movement of propagules including floral gametes and seeds, aquatic/marine spores, eggs and larvae	Sexual (e.g. pollination) and vegetative reproduction of plants, spore and akinete production, transport of propagules by terrestrial and aquatic organisms
11	Provision and maintenance of biodiversity and biotic interactions in terrestrial and aquatic ecosystems	Provision and preservation of biodiversity and interactions within biotic communities to maintain the functioning of the ecosystem, contain the impact of outbreaks/blooms (e.g. by controlling populations of potential pests and disease vectors), ensure the production and use of natural materials (i.e. biological and genetic resources) that can be used by organisms for their health, and contribute to a self-maintaining diversity of organisms developed over evolutionary time (capable of continuing to change)	Population/community dynamics, trophic interactions, competition, facilitation, parasitism, symbiosis, genetic potential, nutrient, hormone and biocide production
12	Provision and maintenance of habitats and biotopes in terrestrial and aquatic ecosystems	Provision of suitable living space for wild biotic communities and individual species. It also includes the provision of suitable breeding, reproduction, nursery, refugia and corridors in natural and semi-natural ecosystems (connectivity)	Bioturbation of soil and sediment organisms, terrestrial and aquatic vegetation biomass (aboveground and belowground), terrestrial and aquatic biogenic structures

274 **4. Conceptual relationships between the proposed categories of ecosystem functions and the**  
275 **ecosystem services**

276 **4.1. Classification of ecosystems services**

277 The notion of ecosystem services emerged in the 1970s, where it was used by economists to  
278 conceptualize the link between the functions of Nature and the benefits that society derives from it.  
279 The first author to refer to the concept was Schumacher (1973) who talked about ‘natural capital’, but  
280 the term "ecosystem services" was not coined until a few years later (see for example Westman, 1977;  
281 Ehrlich and Ehrlich, 1981) when it quickly became associated with advocacy for protecting ecosystems  
282 as most of the services they provide have no substitute (Ehrlich and Mooney, 1983). Later on, seminal  
283 papers such as Daily (1997) and Costanza et al. (1997) went on to lend the “ecosystem services”  
284 concept a multidisciplinary and global dimension, prompting the United Nations to coordinate several  
285 related projects and initiatives, such as the Millennium Ecosystem Assessment (MEA; 2000 - 2005), The  
286 Economics of Ecosystems and Biodiversity (TEEB; 2007–2011), and the Intergovernmental Science-  
287 Policy Platform on the Biodiversity and Ecosystem services (IPBES; 2012–today). The main objectives  
288 of these initiatives were to provide a conceptual framework for the notion of ecosystem service (MEA),  
289 to evaluate the contribution of these ecosystem services to society (TEEB), and to inform and guide  
290 government policy on the basis of knowledge on each of the ecosystem services previously reported  
291 by the MEA and TEEB (IPBES).

292 Ecosystem services have been given many definitions (Table 2). While all of these definitions converge  
293 to emphasize the contribution of ecosystem services to our well-being, they diverge on the dynamics  
294 that need to be considered. Daily et al. (1997) assert that ecosystem services are processes that  
295 support our well-being, whereas Costanza et al. (1997) define them as the goods and services resulting  
296 from these processes. The MEA defined ecosystem services as the benefits that humans derive from  
297 ecosystems (without making the distinction between the processes and the goods and services  
298 produced) and classified them into four categories, three of which directly impact human well-being  
299 (*provisioning services* providing food or energy, *regulating services* enabling, for example, air or water  
300 purification, and *cultural services* including recreational spaces offered by ecosystems) and a fourth

301 that indirectly impacts it (*supporting services* that enable processes like nutrient cycling or soil  
 302 formation to continue providing other ecosystem services). The MEA-defined scheme of ecosystem  
 303 services was subsequently remobilized later in international projects such as the IPBES and TEEB  
 304 frameworks, but the category of support services disappeared (Díaz et al., 2015) as they were  
 305 considered as ecological processes (TEEB, 2010).

306

307 *Table 2: Examples of definitions given to the concept of ecosystem services*

Definitions of ecosystem service	Years	References
the conditions and processes through which natural ecosystems and their component species sustain and fulfill human life	1997	Daily et al. (1997)
the benefits that human populations derive, directly or indirectly, from ecosystem functions	1997	Costanza et al. (1997)
the benefits that people obtain from ecosystems	2005, 2010 and 2012	MEA, TEEB and IPBES (Díaz et al., 2015)
components of nature that are directly enjoyed, consumed or used to afford human well-being.	2007	Boyd and Banzhaf (2006)
the aspects of ecosystems utilized (actively or passively) to afford human well-being.	2009	Fisher et al. (2009)

308

309 Because most of its contributors were members of the conservation biology movement, the ecosystem  
 310 services approach initially disregarded the notion of ‘dis-services’ (Campagne et al., 2018). However,  
 311 from the ecosystem services approach perspective, the impact of pests on food production is a ‘dis-  
 312 service’ provided by the ecosystem to humans (Rasmussen et al., 2017). A society that uses PPP has  
 313 therefore at least implicitly chosen to pursue the maximization of food production by reducing the  
 314 short-term impacts of pest species on that service. It is only in the longer term that the negative  
 315 impacts of PPP use on other services become apparent, notably certain regulatory services that are  
 316 useful to agriculture, such as pollination or soil formation, or cultural services that are dependent on  
 317 the quality of the environment.

318 There is no one consensus approach for categorizing ecosystem services. Nevertheless, the approach  
 319 initiated by the MEA and developed in the later IPBES and TEEB framework seems to have gained wider  
 320 adoption by the EU decision-makers. The European Environment Agency proposed a Common

321 International Classification of Ecosystem Services (CICES; <https://cices.eu>) based on the ecosystem  
322 service cascade (Potschin and Haines-Young, 2011). This system links ecological processes and  
323 functions to end-services, i.e. the MEA's three direct-impact categories of ecosystem services  
324 (*provisioning services, regulating and maintenance services, and cultural services*).

325 To address its aim of clearly outlining the knowledge, controversies, and gaps in understanding  
326 surrounding impacts of PPP on ecosystem services, the expert panel working on the collective scientific  
327 assessment (see Pesce et al., 2021) used the most recent version of the CICES classification (version  
328 5.1.; Haines-Young and Potschin, 2018).

329

#### 330 **4.2. Perception of the relationships between ecosystem functions and ecosystem services**

331 Here we used a perception survey to explore the possible links between the ecosystem functions  
332 potentially impacted by PPP (based on the classification described in Table 1) and the groups of biotic  
333 and abiotic ecosystem services defined under the CICES classification (version 5.1.; Haines-Young and  
334 Potschin, 2018). For that purpose, we established a sub-panel of 17 of the scientific experts panel (see  
335 the acknowledgements section) covering a wide range of environmental disciplines related to the fate  
336 and impact of PPP in soil and aquatic ecosystems (environmental chemistry, agronomy, microbial  
337 ecotoxicology, aquatic ecotoxicology, terrestrial ecotoxicology, ecology and evolution, and chemical  
338 fate and effect modelling). Each expert was asked to express his/her opinion, based on his/her own  
339 knowledge, experience and perception, on ecosystem function–ecosystem service links. For each of  
340 the 218 combinations of ecosystem function group ( $n=12$ )  $\times$  ecosystem service group ( $n=18$ ), four  
341 possible responses were offered: i) direct link, ii) indirect link, iii) no link, or iv) no opinion. Direct or  
342 indirect links did not carry any mention of whether the ecosystem function–ecosystem service  
343 relationship had to be positive or negative. Figure 2 illustrates the results obtained for the provisioning  
344 (Fig. 2A), regulation & maintenance (Fig. 2B), and cultural (Fig. 2C) services.

345 Whatever the proposed combination, at least two experts identified possible direct and/or indirect  
346 links between the ecosystem service categories and the ecosystem function categories. Moreover, the  
347 majority of the experts consulted (i.e. at least 9/17) considered that about 55% of the combinations

348 concerning provisioning services (Fig. 2A) and regulation and maintenance services (Fig. 2B) were  
349 characterized by direct links with the proposed categories of ecosystem functions. This percentage  
350 reached 95% when also considering the indirect links.

351 However, a general consensus (i.e. identical response among experts) was observed in only very few  
352 cases (n=9, <5% of the total combinations). The consensus concerned only three categories of  
353 provisioning services (i.e. 'Genetic material from plants, algae, fungi, animals or organisms', 'Wild  
354 plants (terrestrial and aquatic) for nutrition, materials or energy', and 'Wild animals (terrestrial and  
355 aquatic) for nutrition, materials or energy'; Fig. 2A) and two categories of regulation and maintenance  
356 services ('Regulation of baseline flows and extreme events' and 'Mediation of wastes or toxic  
357 substances of anthropogenic origin by living processes'; Fig. 2B), and the consensus was always  
358 towards the existence of direct links.

359 There was much higher variability in the responses on cultural services (Fig. 2C), for which a high  
360 percentage of "no opinion" responses was observed, in particular for the categories 'Spiritual, symbolic  
361 and other interactions with natural environment' and 'Other biotic characteristics that have a non-use  
362 value'.

363 The results of this exercise indicate that it is possible to establish potential relationships between  
364 ecosystem functions and services, but that further efforts are needed to clarify the direct vs indirect  
365 nature of these links. Moreover, the general perception shows that the nature of the link is mainly  
366 profiled by service rather than by function (i.e. in most cases presented in Fig. 2, the percentages  
367 obtained are fairly homogeneous in the rows but more heterogeneous in the columns). This suggests  
368 that few services are linked to only part of the functions, and that it is above all the nature of the  
369 service that determines the direct or indirect nature of the link with most of the functions. In terms of  
370 risk prevention, this implies that prioritizing particular services would in no way lead to prioritizing  
371 particular functions, given that each service relies on a set of functions and each function has  
372 consequences on a set of services.

373

374



375 **5. Application of the proposed framework to terrestrial microalgae and cyanobacteria**

376 We illustrate the potential use of the proposed framework by linking the effect of PPP on ecological  
377 processes to their knock-on effects on ecosystem services and related goods and benefits. To do so,  
378 we describe how the effects of herbicides on terrestrial microalgae and cyanobacteria can impact some  
379 ecosystem services. Terrestrial microalgae and cyanobacteria are ubiquitous photosynthetic  
380 microorganisms, pioneer organisms of soil surfaces (Fig. 3). These microbial communities were chosen  
381 as model examples as they are involved in many ecosystem functions via various ecological processes  
382 (Crouzet & Bérard, 2017, Abinandan et al., 2019; Poveda 2021). They are the first soil–atmosphere  
383 microbial interface (and therefore likely to be directly subjected to aerial PPP contamination), which  
384 makes them central to challenges of sustainable agriculture and protection of degraded soils and  
385 related ecosystem services. Figure 4 illustrates several classes of ecosystem services that terrestrial  
386 microalgae and cyanobacteria contribute to under the heading ‘Regulation of baseline flows and  
387 extreme events’, including ‘Hydrological cycle and water flow regulation’, ‘Buffering and attenuation  
388 of mass movement’, and ‘Control of erosion rates’ (Haines-Young and Potschin, 2018). Terrestrial  
389 microalgae and cyanobacteria play roles in damage mitigation by driving processes that help reduce  
390 the magnitude and frequency of flood events and the allied cost to human lives and physical damage  
391 to infrastructure, and the damage (and associated costs) caused by sediment input to water courses  
392 (Fig. 4).

393 Following this new classification of ecosystem functions potentially impacted by PPP (Table 1), there  
394 are up to five ecosystem functions based on several ecological processes such as photosynthesis,  
395 pigment production, exopolysaccharide production, filament production, and N<sub>2</sub> fixation that  
396 contribute to these three classes of ecosystem services. Experimental and field studies have already  
397 shown the role of terrestrial microalgae and cyanobacteria in the maintenance of soil structure by  
398 producing exopolysaccharides and filaments that help control of erosion rates (Metting, 1987; Knapen  
399 et al., 2007; Malam Issa et al., 2007), and in soil water retention that contributes to hydrological cycle  
400 and water flow regulation (Cantón et al., 2020; Rossi et al., 2012; Kidron et al., 2020).

401 PPP, chiefly herbicides, strongly affect these terrestrial photosynthetic microbial communities by  
402 decreasing their biomass and diversity (Pipe 1992). There are reports of negative effects of herbicides  
403 on key ecological processes such as photosynthesis (Bérard et al., 2004), N<sub>2</sub> fixation (Dash et al., 2018;  
404 Wegener et al., 1985), pigment and exopolysaccharide production (Crouzet et al., 2019; Joly et al.,  
405 2014; Zaady et al., 2013). However, the only study that reported negative effects of PPP on ecological  
406 functions was Zaady et al. (2013) who pointed to the impact of the triazine herbicide simazine on runoff  
407 production and hydraulic conductivity due to decreases in chlorophyll biomass and  
408 exopolysaccharides. Crouzet et al. (2019) also showed the negative impact of phenylurea isoproturon  
409 on soil aggregation due to decrease in chlorophyll biomass, change in pigment composition, decrease  
410 in filament mass, and decrease in exopolysaccharides.

411

## 412 **7. Conclusions**

413 Effective implementation of ecosystem service-based environmental risk assessments of PPP could  
414 lead to better protection for ecosystems affected by the direct and indirect adverse effects of these  
415 contaminants. This paper defined the gap between most ecotoxicological endpoints used in PPP risk  
416 assessment and the evaluation of the risks and effects of PPP on ecosystem services, and then  
417 described a conceptual framework that links the effects of PPP on biodiversity and ecological processes  
418 to potential impairments of ecosystem functions and, ultimately, ecosystem services. The framework  
419 proposed here uses a set of clearly-defined core categories of ecosystem functions and services, which  
420 should help identify which of them are threatened by PPP. Once applied in practice, this framework  
421 could help harmonize and extend the scientific knowledge that informs decision-making and policy-  
422 making. In particular, it could help to better address the trade-off between social benefits and  
423 environmental losses from the use of PPP on ecosystems. We analyzed expert perceptions of the  
424 relationships between ecosystem functions and ecosystem services using this framework, and found  
425 that both the direct and indirect linkages still need to be made clearer. This should be done by focusing  
426 on specific contexts in which the causal relationship between PPP effects on ecological processes and

427 the related ecosystem functions and services should be addressed, as illustrated here using the  
428 example of terrestrial microalgae and cyanobacteria.

429

#### 430 **CRedit authorship statement**

431 **Stéphane Pesce**: Conceptualization, Writing - original draft, Writing - review & editing **Annette Bérard**:  
432 Conceptualization, Writing - original draft, Writing - review & editing **Marie-Agnès Coutellec**:  
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436 Writing - review & editing **Rémi Mongruel**: Writing - review & editing **Dominique Munaron**: Writing -  
437 review & editing **Sergi Sabater**: Conceptualization, Writing - review & editing **Nicola Gallai**:  
438 Conceptualization, Writing - original draft, Writing - review & editing

439

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441 The authors have no competing interests to declare as well as no personal relationships that could  
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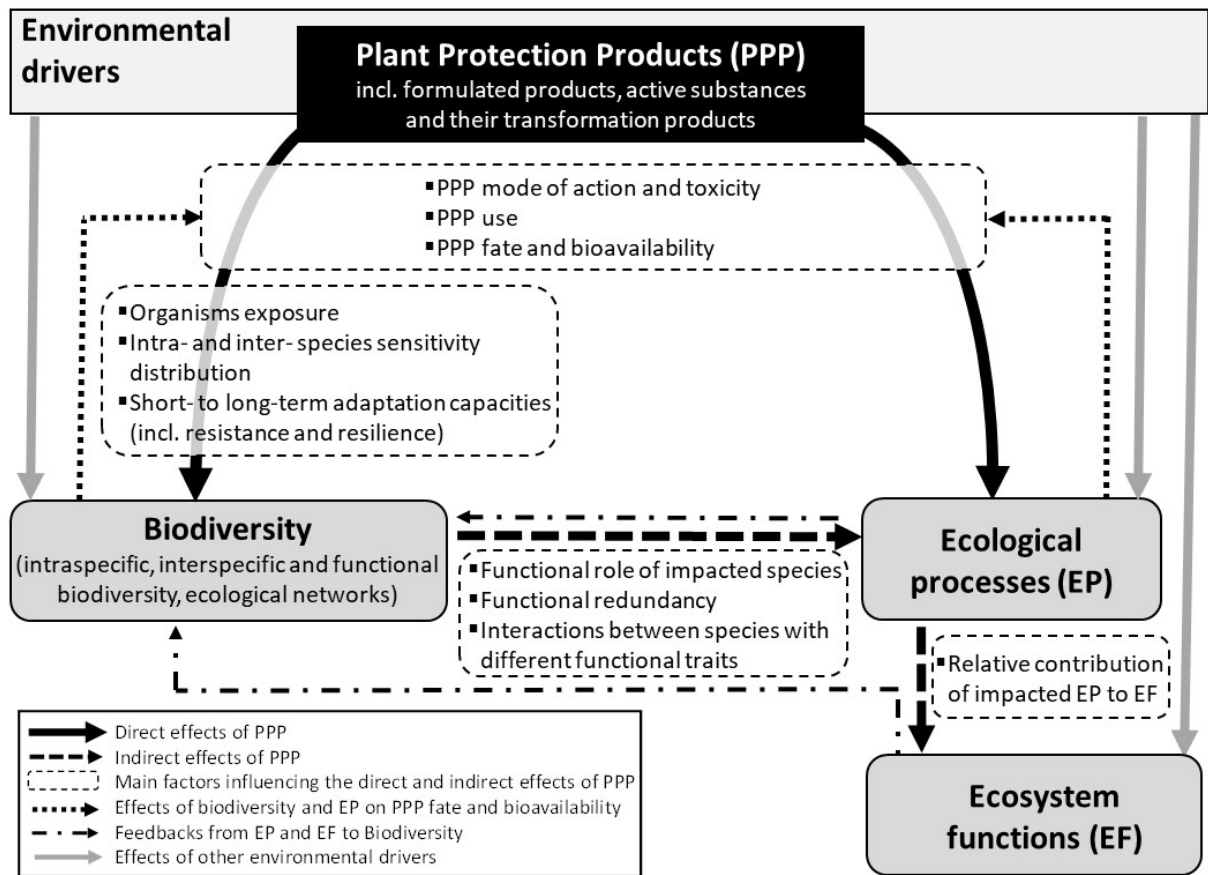
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847 *Figure 1: Expected effects of plant protection products on biodiversity, ecological processes and*  
848 *ecosystem functions through their inter-relationships.*

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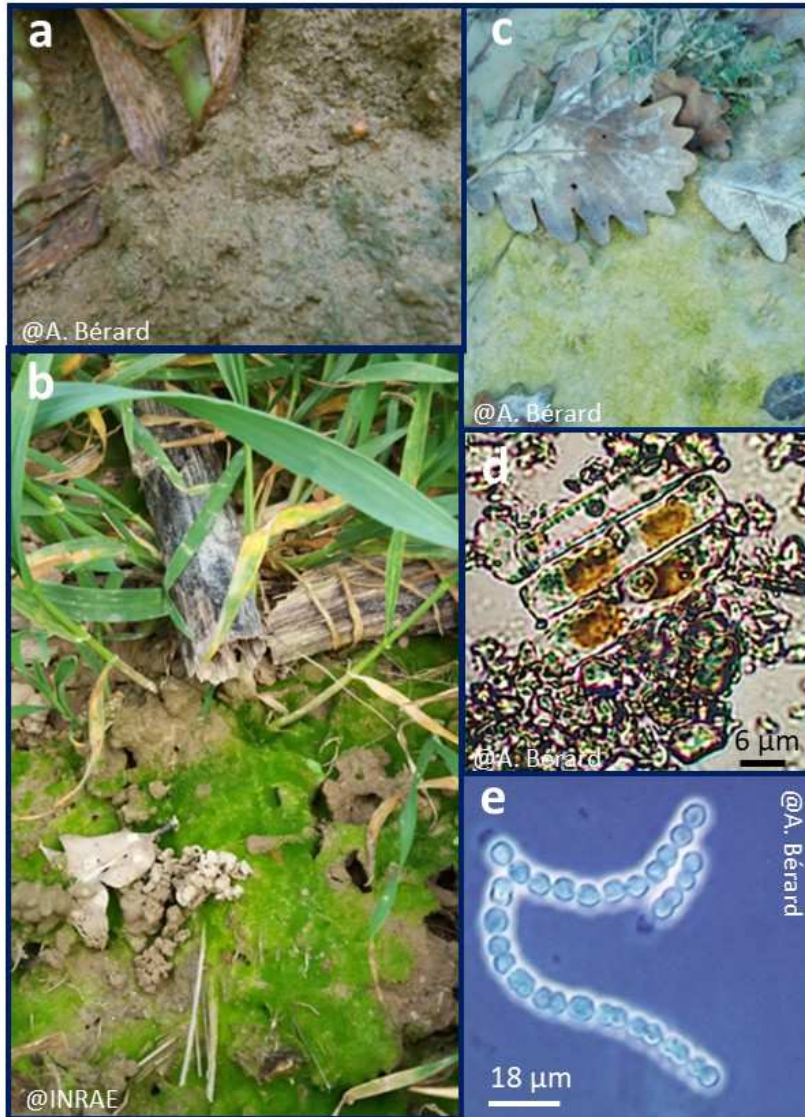
854 *Figure 2: Perceptions of a panel of experts in environmental sciences (n=17) on the links between the*  
 855 *ecological function (EF) categories proposed in Table 1 and (A) provisioning, (B) regulation and*  
 856 *maintenance and (C) cultural services as classified in CICES version 5.1. (Haines-Young & Potschin,*  
 857 *2018).*





859 *Figure 3: Pictures illustrating terrestrial microalgae and cyanobacteria: a, conventional culture (maize);*  
860 *b, conservation culture (barley); c, forest (Mediterranean). Microscopic observations: d, diatoms;*  
861 *cyanobacteria.*

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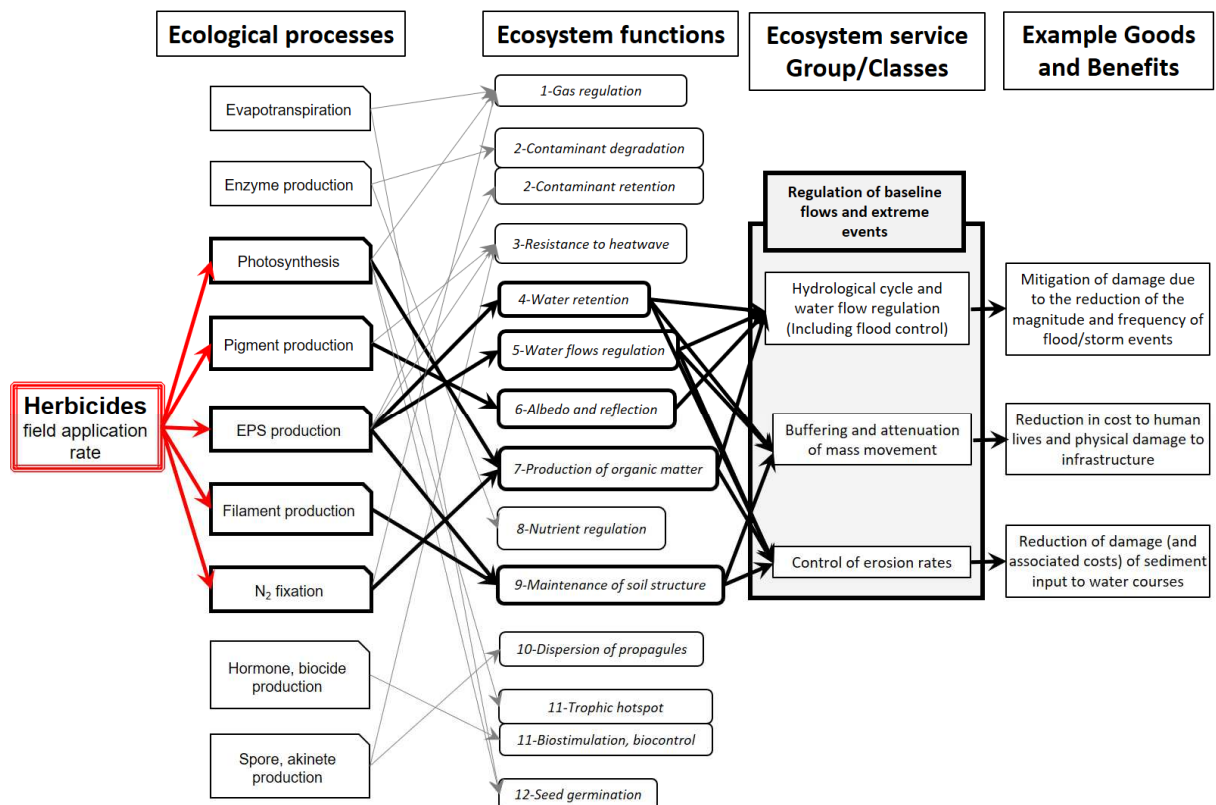
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868 *Figure 4: Example of potential relationships between ecological processes and ecosystem functions*  
 869 *(numbers refer to the categories proposed in Table 1) involving terrestrial microalgae and*  
 870 *cyanobacteria. The figure shows the impacts of herbicides on three classes of the ecosystem service*  
 871 *“Regulation of baseline flows and extreme events” that terrestrial microalgae and cyanobacteria*  
 872 *contribute to via their ecological processes and ecosystem functions. EPS: exopolysaccharides excreted*  
 873 *by algae and cyanobacteria in the soil.*  
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